

DFT Modeling of Chemical Vapor Deposition of GaN from Organogallium Precursors. 2. Structures of the Oligomers and Thermodynamics of the Association Processes[†]

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The thermochemistry of association reactions of organogallium precursors for the GaN chemical vapor deposition (CVD) is studied. Geometries, relative energies, and vibrational frequencies of ring and cluster compounds $[\text{RGaNR}'_n]_n$, $[\text{R}_2\text{GaNR}'_2]_m$, ($n = 2-4$, 6 ; $m = 2-3$; $\text{R}, \text{R}' = \text{H}, \text{CH}_3$) are obtained at the hybrid Hartree–Fock/density functional level of theory (B3LYP/pVDZ). Formation of the $[\text{RGaNR}'_4]_4$ tetramer and $[\text{RGaNR}'_6]_6$ hexamer species is thermodynamically favorable in the gas phase at temperatures up to 720 K ($\text{R} = \text{H}, \text{R}' = \text{CH}_3$) and 920–940 K ($\text{R} = \text{H}, \text{CH}_3, \text{R}' = \text{H}$). The thermodynamic analysis of the major gas-phase reactions indicates that association processes might play a key role in the GaN CVD under low-temperature–high-pressure conditions.

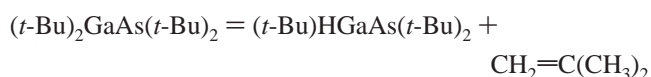
Introduction

Doped gallium nitride is a prospective material for controlled color light-emitting diodes for lighting and display applications. One of the most common ways of GaN fabrication is metal organic vapor phase epitaxy (MOVPE) of trimethylgallium $\text{Ga}(\text{CH}_3)_3$ (TMG) and ammonia. Several reactor schemes have been used to reduce “parasitic” gas phase reactions between the donor and acceptor components in early investigations of the GaN CVD process.¹ Such efforts have been stimulated by the assumption that the CVD of GaN at high temperatures is similar to the CVD mechanism of the higher homologue GaAs, which is known from experiment and theory to involve radical and surface reactions.

The formation of GaAs from different precursors has been studied extensively by electronic structure theory.^{2–6} Whereas Bock et al.² considered only simple GaH_x and AsH_x ($x = 1-3$) species in their early work, gallane, arsine, trimethylgallium $\text{Ga}(\text{CH}_3)_3$ (TMG), and their adducts have been studied up to the CCSD level of theory by Graves and Scuseria.³ The latter group found that exothermic formation of the H_3AsTMG adduct proceeds without an activation barrier. A wide range of methyl, chloro, and fluoro gallanes and their adducts with AsH_3 , as well as adducts of gallane with $\text{As}(\text{CH}_3)_x\text{H}_{3-x}$ ($x = 1, 2$) have been investigated at the MP4(SDTQ) level of theory.⁴ The higher stability of halide complexes with arsine has been explained on the basis of a bonding interaction between the halogen and As atoms.

Bock and Trachtman⁵ studied the GaAs CVD mechanism in more detail by looking at possible CH_4 elimination reactions

from *trans*- $(\text{CH}_3)\text{HGaAsH}(\text{CH}_3)$. Besides the two α -elimination reaction paths from either the Ga or As centers, a transition state for the interfragment CH_4 elimination (barrier of 58 kcal mol^{-1}) could be located at the MP2/HUZSP**//RHF/HUZSP* level of theory. The bond dissociation energies of *trans*- $\text{CH}_3\text{HGaAsHCH}_3$ increase in the order $\text{As}-\text{C} < \text{Ga}-\text{As} < \text{As}-\text{H} < \text{Ga}-\text{C} < \text{Ga}-\text{H}$. The Ga–C bond dissociation energy (293 kJ mol^{-1}) is 50 kJ mol^{-1} larger than that of the As–C bond (243 kJ mol^{-1}). Therefore, Bock et al.⁵ concluded that the 2-methylpropene observed experimentally in the thermal decomposition of $(t\text{-Bu})_2\text{GaAs}(t\text{-Bu})_2$ is produced via β -elimination from the Ga center rather than from any radical reaction in the gas phase:



A detailed thermodynamic analysis of the gas-phase radical reactions between TMG and AsH_3 involving seven major elementary gas-phase reactions was presented in 1988 by Tirtowidjojo and Pollard.⁷ These seven gas-phase reactions were investigated in 1995 by Trachtman et al.,⁶ who found that the radical mechanism plays an important role at high temperatures. The thermal decomposition of TMG proceeds as a homogeneous gas-phase reaction at 1000 K, but surface catalysis is required at lower temperatures. This theoretical prediction is in agreement with experimental results on the photodissociation of TMG adsorbed on the surface of GaAs. The photodissociation is a one-photon process and occurs on the surface of GaAs.⁸

It is generally accepted that the precursors used for CVD of GaAs form the weak adducts $\text{Ga}(\text{CH}_3)_3\text{AsH}_3$ or $\text{GaH}_3\text{As}(\text{CH}_3)_3$. It is assumed that CVD from organogallium compounds involves dissociation of the weak Ga–As bond, followed by Ga–C and As–C bond breaking. Whereas only dissociation products are believed to exist in the gas phase at high temperatures, additional

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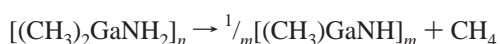
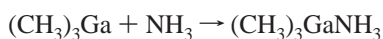
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intermediates are formed on the GaAs surface at low temperatures. The operation of a radical mechanism is also supported by the presence of C₂H₆ in products of Ga(CH₃)₃AsH₃ thermal decomposition.⁹

Theoretical research on the CVD of GaN from organogallium precursors is far less extensive than for GaAs. Because of lack of data, many experimental studies have assumed that the CVD of GaN at high temperatures is similar to the dissociative and radical mechanism described above for GaAs.¹⁰ Indeed, the observation of C₂H₄ and C₂H₆ traces during Ga(CH₃)₃-NH₃ MOCVD supports this assumption.^{1,11} However, there are several indications that the GaN CVD mechanism differs from that of GaAs significantly. GaN films, obtained at 950 °C in Ar or N₂ carrier gas, contained intermediate products like (CH₃)_{3-x}GaNH_{3-x}.¹² Thon and Kuech observed formation of a [(CH₃)₂GaNH₂]_x species under MOCVD conditions “with most probably $x = 3$ ”.¹ Recently, Kuech and co-workers mentioned that “the exact chemistry involved in the MOVPE of GaN, has not been reported”.¹³ Supposedly,¹⁴ the CVD process involves the following steps:



It was shown in our previous work that the Ga–N bond dissociation energy is much higher than that for Ga–As (138 and 40 kJ mol⁻¹ for GaCl₃NH₃ and GaCl₃AsH₃, respectively).¹⁵ Therefore, preserving the metal-pnictide bond via cluster formation in the gas phase maybe more important for Ga–N than for Ga–As systems. The experimentally observed particle formation in the gas phase during the CVD of AlN indicates association processes.^{16,17} The theoretical thermochemical study of the Cl₃AlNH₃ adduct showed that in this system oligomerization to clusters as large as (ClAlNH)₆ is thermodynamically favorable in the gas phase.¹⁸ Solid AlN is formed presumably via cluster association in the gas phase, rather than by a radical mechanism. It should be noted that HCl elimination from the Cl₃AlNH₃ adduct causes an increase of the Al–N bond strength (420 kJ mol⁻¹ for Cl₂AlNH₂ compared to 149 kJ mol⁻¹ for Cl₃AlNH₃).¹⁸ The same trend, however at lower bond energies, is predicted for the Ga–As bond dissociation energy: 249 kJ mol⁻¹ for (CH₃)HGaAsH(CH₃), but only 52 kJ mol⁻¹ for the (CH₃)₂GaAsH₂(CH₃) precursor with larger Ga and As coordination numbers.⁵ Because the Ga–N bond dissociation energy is much higher compared to Ga–As [378 kJ mol⁻¹ for (CH₃)GaNH₂ and 76 kJ mol⁻¹ for (CH₃)₃GaNH₃], association processes should be more favorable for GaN than for GaAs systems.

The notion that association processes are important for Ga–N systems is further supported by experimental results. The Ga₂N₂ rings of [R₂GaN=CR'R'']₂ and [Me₂GaNRR']₂ compounds (R, R', R'' = Me, Ph) were found in mass-spectroscopy studies to be highly stable in the gas phase.^{19–21} The major fragmentation processes are the terminal Ga–C and N–C bond cleavages. It is also known that mixing TMG with NH₃ immediately leads to the TMG–NH₃ adduct, which evolves 1 mol of CH₄ accompanied by [(CH₃)₂GaNH₂]₃ trimer formation when heated above 120 °C.²² In addition, Koplitz and co-workers found in the recent study of the laser-assisted reaction of TMG with ammonia not only formation of TMG:NH₃ and TMG:NH₂ adducts, but also “higher mass GaN-containing species.”²³ Lee

and Stringfellow²⁴ investigated the MOVPE of GaN from TMG and 1,1-dimethylhydrazine. These authors found that both compounds form an adduct which loses CH₄ at 250 °C forming presumably Me₂Ga–NHNMe₂, but no evidence for breaking of the Ga–N bond at higher temperatures is observed during the CVD of GaN. Miehr et al. studied the CVD of GaN from an organogallium azide.²⁵ Best results were obtained using the nitrogen-rich single molecule precursor in the absence of carrier gases, whereas NH₃ carrier gas caused oligomerization of the precursor. A very recent mass-spectrometric study revealed dimer formation in the gas phase during GaN MOCVD at temperatures below 1000 K.²⁶

Of course, experimental CVD processes are rather controlled by kinetic factors. Therefore, nonthermal activation channels, such as photon- and electron-initiated chemistry at surfaces, are widely used.²⁷ However, the “thermodynamic analysis is noteworthy in understanding and optimizing the selective epitaxial growth processes”,²⁸ and in many cases the film composition is determined by the thermodynamics. The total pressure *P* in the system defines the mechanism of the CVD process. Only when the probability of gas-phase collisions is negligible ($P < 10^{-4}$ Torr) the precursor decompositions become strictly heterogeneous.²⁸ Because the standard CVD apparatus use higher pressures, it seems reasonable to consider association reactions in the gas phase.

Since thermodynamic properties of many gas-phase organogallium precursors and possible intermediates are not known, we investigate computationally structural and thermodynamic aspects of CVD of GaN from organogallium precursors. Whereas monomer donor–acceptor compounds and their dissociation products have been considered in Part I of this series, the present report focuses on the association products: small rings and clusters [RGaNR']_n, [R₂GaN'R']_m, $n = 2–4, 6$; $m = 2–3$; R, R' = H, CH₃. Vibrational spectra of all compounds investigated are given in the Supporting Material section and may help in the experimental identification of possible intermediates in the GaN CVD process. We present enthalpies, entropies and Gibbs energies of the major processes in the gas phase. We do not consider TMG and NH₃ decay processes as they are relatively well understood today and do not play a significant role in the MOCVD process under low-temperature–high-pressure conditions.

Computational Details

All computations were performed using the Gaussian 94 program package.²⁹ All geometries were fully optimized using self-consistent-field (SCF) and density functional theory (DFT). The three-parameter exchange functional of Becke³⁰ with the gradient-corrected correlation functional of Lee, Yang, and Parr³¹ (B3LYP) was used for the DFT studies. The polarized valence double- ζ (pVDZ) basis set of Ahlrichs and co-workers³² was used throughout. These basis sets are contracted in the following way: H (4s, 1p) \rightarrow [2s, 1p]; C (7s, 4p, 1d) \rightarrow [3s, 2p, 1d]; N (7s, 4p, 1d) \rightarrow [3s, 2p, 1d]; Ga (14s, 10p, 6d) \rightarrow [5s, 4p, 3d]. The effective core potential (ECP) basis set of Hay and Wadt,³³ augmented by d and p polarization functions (LANL2DZP) was also employed in preliminary computations. All stationary points of the potential energy surface (PES) were characterized by analytic evaluation of second derivatives, with the exception of structures computed with the LANL2DZP basis set, for which second derivatives were evaluated by finite differences of analytic first derivatives.

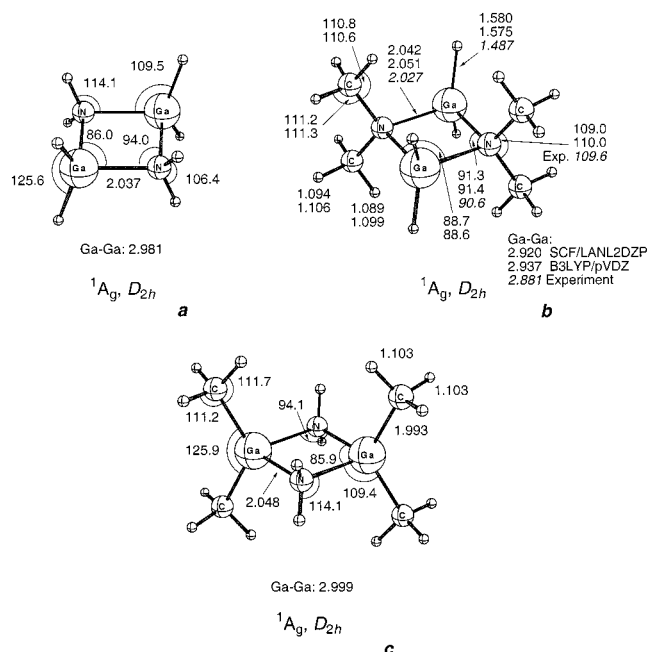


Figure 1. Geometries of tetra-coordinated dimer compounds: $[\text{H}_2\text{-GaNH}_2]_2$ (a), $[\text{H}_2\text{-GaN}(\text{CH}_3)_2]_2$ (b), and $[(\text{CH}_3)_2\text{GaNH}_2]_2$ (c) at the B3LYP/pVDZ (a and c) and SCF/LANL2DZP and B3LYP/pVDZ (b) levels of theory. Bond lengths are in Å, bond angles in degrees.

Results and Discussion

I. Oligomer Compounds. A. Dimer Species $[\text{R}_2\text{GaNR}'_2]_2$ ($\text{R}, \text{R}' = \text{H}, \text{CH}_3$) with Tetracoordinated Ga and N Centers. $[\text{H}_2\text{GaNH}_2]_2$. While trimeric cyclotrigallazane $[\text{H}_2\text{GaNH}_2]_3$ has been synthesized and extensively investigated very recently,³⁴ the dimer $[\text{H}_2\text{GaNH}_2]_2$ is still unknown. The computed minimum structure of this unknown compound has D_{2h} symmetry with a planar Ga_2N_2 core (Figure 1a).

$[\text{H}_2\text{GaNMe}_2]_2$. This white crystalline compound has been studied by Downs and co-workers.³⁵ The dimeric structure of the compound has been observed in the gas phase and in benzene solution.³⁶ Baxter et al.³⁵ were able to fit their gas-phase electron diffraction data with a planar Ga_2N_2 ring and a $[\text{C}_2\text{NGaH}_2]_2$ skeleton with D_{2h} symmetry. Positions of hydrogen atoms in CH_3 groups have been fixed with a twisting angle of 20° . Our optimized D_{2h} symmetry structure with a planar Ga_2N_2 core is given in Figure 1b. The bond distances are slightly longer than the experimental values, but this difference is usually observed at the B3LYP/pVDZ level of theory. Bond angles within the Ga_2N_2 core agree well with experiment, and so do the computed vibrational spectra (see Supporting Information).³⁵

$[\text{Me}_2\text{GaNH}_2]_2$. In contrast to $[\text{H}_2\text{GaNMe}_2]_2$, its $[\text{Me}_2\text{GaNH}_2]_2$ isomer is not known experimentally, but there exists a trimer $[\text{Me}_2\text{GaNH}_2]_3$ of the Me_2GaNH_2 unit. However, the optimized geometry of the D_{2h} symmetry dimer is close to that of its $[\text{H}_2\text{GaNMe}_2]_2$ isomer and is given in the Figure 1c. Note that the $[\text{Me}_2\text{GaNH}_2]_2$ isomer lies 225 kJ mol^{-1} lower in energy than $[\text{H}_2\text{GaNMe}_2]_2$, confirming the trend of the preferable Me-Ga and N-H orientation of substituents, which was found for the monomer species.⁶³

It is well-known that the degree of association in oligomer species strongly depends on the steric demand of the substituents: more bulky ligands result in a lower degree of association.^{37,38} From experimental observations it is concluded that the steric influence of substituents is more pronounced if these are bound to the smaller nitrogen atom. Indeed, substitution of

the NH_2 group by NMe_2 renders association more difficult: whereas the trimers $[\text{Me}_2\text{GaNH}_2]_3$ and $[\text{H}_2\text{GaNH}_2]_3$ are known, $[\text{H}_2\text{GaNMe}_2]$ was found to exist only as a dimer. There are many successful reports on the synthesis and identification of dimeric $[\text{R}_2\text{GaNR}'_2]_2$ compounds with different substituents R and R' .^{36,39–48} $[\text{Me}_2\text{GaNH}(t\text{-Bu})]_2$ was synthesized and characterized in 1992 by two groups working independently.^{45,46} The important structural data of these compounds are summarized in Table 1.

The GaNGa angles are larger than the NGaN angles in compounds having Ga_2N_2 rings, in agreement with findings for the analogous aluminum species.¹⁸ This trend (Table 1) is well-known for group 13–15 compounds.^{37,38} The Ga–N bond distance is increased by 0.217 (H,H), 0.205 (H,Me) and 0.217 (Me,H) Å compared to the monomer $\text{R}_2\text{GaNR}'_2$ molecules. Here and later on in this paper the notation (H, Me) indicates that the first substituent (H) is attached to the Ga center, and the second one (Me) to the nitrogen center, i.e., H_2GaNMe_2 . The terminal Ga–R and N–R' bonds are elongated by about 0.007–0.016 Å due to dimerization, and a significant change of RGaN and GaNR' bond angles is observed due to removal of the quasi-planar structure of $\text{R}_2\text{GaNR}'_2$ monomers. Despite these structural reorganizations and the strain due to the small ring size, the energy of formation of two additional GaN bonds compensates all unfavorable structural changes, making the enthalpy of the dimerization process

$$\text{R}_2\text{GaNR}'_2 = \frac{1}{2}[\text{R}_2\text{GaNR}'_2]_2$$

exothermic by -97 (H,H), -76 (H, Me) and -91 (Me, H) kJ mol^{-1} . Entropy changes are unfavorable by 93, 94, and 105 $\text{J mol}^{-1} \text{K}^{-1}$ for (H, H), (H, Me), and (Me, H) orientation of substituents, respectively.

B. Trimer Species $[\text{R}_2\text{GaNR}'_2]_3$ ($\text{R}, \text{R}' = \text{H}, \text{CH}_3$) with Tetracoordinated Ga and N Centers. $[\text{H}_2\text{GaNH}_2]_3$. This trimeric cyclotrigallazane has been studied extensively recently.^{34,49,50} It was found to be a suitable precursor for GaN deposition by Gladfelter and co-workers,³⁴ and its decomposition in solution was further investigated in a recent report.⁴⁹ This compound can be produced from H_3GaNMe_3 and ammonia and eventually converted into GaN.^{34,50} On the basis of X-ray structural data of $[\text{H}_2\text{GaNH}_2]_3$ and neutron powder diffraction of $[\text{D}_2\text{GaND}_2]_3$, Gladfelter and co-workers established a chair conformation of cyclotrigallazane in the solid state. However, they found that the twist-boat conformation of $[\text{H}_2\text{GaNH}_2]_3$ is more stable (by 11 kJ mol^{-1}) than the chair conformation at the MP2/VDZ level of theory. Therefore, we do not consider chair conformations in the present work. The boat conformation of C_s symmetry is a transition state (Hessian index one) and lies only 1 kJ mol^{-1} higher in energy than the C_2 symmetric twist-boat minimum (Figure 2a).

$[\text{H}_2\text{GaNMe}_2]_3$. Geometric parameters for this unknown compound are presented in Figure 2b and vibrational frequencies are reported in the Supporting Information.

$[\text{Me}_2\text{GaNH}_2]_3$. $[\text{Me}_2\text{GaNH}_2]_3$ is easily produced when the source Me_3GaNH_3 adduct is heated above 120°C .¹⁴ Our optimized structure is given in Figure 1c. Similar to its hydrogen analogue, the twist-boat structure of C_2 symmetry was found to be a minimum on the PES. The $[\text{Me}_2\text{GaNH}_2]_3$ species exists in the “twisted sofa” form in the solid state with the smallest torsion angle for consecutive Ga–N–Ga–N atoms being only 2.7° .¹⁴ However, the smallest torsion angle between consecutive Ga–N–Ga–N atoms for our computed (gas-phase) structure is 26° , but this difference may be caused by intermolecular

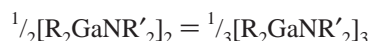
TABLE 1: Selected Experimental and Theoretical Geometries for Oligomer Compounds

CN	Compound	R(Ga–N)	Ga–N–Ga	N–Ga–N	method	ref
4	[H ₂ GaNH ₂] ₂	2.037	94.0	86.0	B3LYP/pVDZ	present
	[H ₂ GaNMe ₂] ₂	2.027	90.6	89.4	electron diffraction	35
	[H ₂ GaNMe ₂] ₂	2.051	91.4	88.6	B3LYP/pVDZ	present
	[Me ₂ GaNH ₂] ₂	2.048	94.1	85.9	B3LYP/pVDZ	present
	[Me ₂ GaNHdipp] ₂	2.025	94.2	83.6	X-ray	46a
	[Me ₂ GaNH(1-Ad)] ₂	2.031	94.7	85.3	X-ray	46a
	[Me ₂ GaNH(1-Ad)] ₂	2.030	94.8	85.2	X-ray	47
	[Me ₂ GaNHPh] ₂	2.039	93.6	86.4	X-ray	46a
	[<i>t</i> -Bu ₂ GaNH <i>t</i> -Bu] ₂	2.065	98.3	81.7	X-ray	41
	[Cy ₂ GaNHPh] ₂	2.038	95.6	84.4	X-ray	42a
	[Cy ₂ GaNH <i>t</i> -Bu] ₂	2.01	95.4	84.6	X-ray	42a
	[<i>t</i> -Bu ₂ GaNHPh] ₂	2.018–2.103	96.5	83.5	X-ray	42b
	[Me ₂ GaNH <i>t</i> -Bu] ₂	2.012	95.3	84.8	X-ray	42c
	[Me ₂ GaNH <i>t</i> -Bu] ₂	2.023	94.7	85.3	X-ray	45a
	[Et ₂ GaN(H)NPh ₂] ₂	2.042–2.070	93.4–94.6	85.8–86.2	X-ray	39b
	[(Me ₂ N) ₃ GaNMe ₂] ₂	1.992–1.998	92.5	87.5	X-ray	39a
	[(Me ₂ N) ₂ GaNMe ₂] ₂	2.005–2.021	92.3	87.7	X-ray	46b
	[<i>t</i> -Bu(H)N ₂ GaN(H) <i>t</i> -Bu] ₂	2.008	94.8	81.6	X-ray	40b
	[Me ₂ GaN(H)NPh ₂] ₂	2.043	93.15	86.75	X-ray	45b
	[Me ₂ GaN(H)SiEt ₃] ₂	2.029	91.9	88.2	X-ray	45c
	[Me ₂ GaN(CH ₂ Ph) ₂] ₂	2.044	89.9	90.0	X-ray	43
	[Me ₂ GaN(CH ₂ CH ₂) ₂ NMe] ₂	2.032	92.4	87.6	X-ray	43
	[Me ₂ GaN(<i>i</i> -Pr)SnMe ₃] ₂	2.034	90.7	89.3	X-ray	44
	[Me ₂ GaN(C ₆ H ₁₁) ₂] ₂	2.068	89.5	90.5	X-ray	44
	[Et ₂ GaN(<i>i</i> -Bu) ₂] ₂	2.035–2.061	88.2–88.5	86.8–87.9	X-ray	44
	[Et ₂ GaN(C ₆ H ₁₁) ₂] ₂	2.049–2.086	86.8–88.2	87.1–88.0	X-ray	44
	[PhMe ₂ CCH ₂ GaNHPh] ₂	2.037–2.082	93.8–94.7	86.2–85.3	X-ray	48a
	[PhMe ₂ CCH ₂ GaNH(<i>n</i> -Pr)] ₂	2.013–2.029	93.3	86.7	X-ray	48b
	[<i>t</i> -Bu) ₂ GaN(H) <i>t</i> -Bu] ₂	2.018–2.103	96.5	83.5	X-ray	42d
	[H ₂ GaNH ₂] ₃	1.972–1.987	116.9–117.3	99.9–100.6	X-ray, neutron diffraction	34
	[H ₂ GaNH ₂] ₃	2.01	123.7	102.6	SCF/ECP	34
	[H ₂ GaNH ₂] ₃	2.026–2.034	117.6–119.5	99.4–100.2	B3LYP/pVDZ	present
	[H ₂ GaNMe ₂] ₃	2.037–2.055	113.6–115.3	108.2–108.9	B3LYP/pVDZ	present
	[Me ₂ GaNH ₂] ₃	1.93–2.05	119.5–125.3	93.8–101.3	X-ray	22
	[Me ₂ GaNH ₂] ₃	2.038–2.041	121.8–123.2	99.6–100.8	B3LYP/pVDZ	present
	[HGaNH] ₄	2.001	92.3	87.7	B3LYP/pVDZ	present
	[HGaNMe] ₄	2.007	91.1	88.9	B3LYP/pVDZ	present
	[MeGaN(C ₆ F ₅) ₄]	1.995–2.017	85.9–88.8	91.5–94.0	X-ray	52
	[(C ₆ F ₅) ₃ HNGa(MesGa) ₃ (<i>μ</i> ₃ -NC ₆ F ₅) ₄]	2.001–2.023	91–95	85–89	X-ray	53
	[MeGaN <i>t</i> -Bu] ₄	1.984–1.999	90.6–91.4	88.6–89.2	X-ray	54
	[MeGaNSiMe ₃] ₄	1.981–1.994	89.6–90.3	89.6–90.4	X-ray	55
	[MeGaNH] ₄	2.005	92.3	87.6	B3LYP/pVDZ	present
	[HGaNH] ₆ ^a	1.966–1.968	126.3–126.8	113.4–113–7	B3LYP/pVDZ	present
		2.051	91.5	88.15		
	[HGaNMe] ₆ ^a	1.974–1.976	123.1–123.6	116.6–117.0	B3LYP/pVDZ	present
		2.053	90.2	89.7		
	[MeGaN(4-C ₆ H ₄ F)] ₆ ^a	1.967–1.971	129.5	114.1	X-ray	57a
	2.033	90.35	89.35			
[MeGaN <i>i</i> -Bu] ₆ ^a	1.937–1.955	125.7	113.8	X-ray	57b	
	2.067	88.6–89.1	90.6–90.1			
[MeGaNH] ₆ ^a	1.969–1.970	126.9–127.1	113.1–113.4	B3LYP/pVDZ	present	
	2.056	91.45	88.15			
3	[HGaNH] ₂	1.864	91.9	88.1	B3LYP/pVDZ	present
	[HGaNMe] ₂	1.867–1.875	90.8	89.2	B3LYP/pVDZ	present
	[MeGaNH] ₂	1.868	92.1	87.9	B3LYP/pVDZ	present
	[HGaNH] ₃	1.814	126.0	114.0	SCF/ECP	61
	[HGaNH] ₃	1.844	126.5	113.5	B3LYP/pVDZ	present
	[HGaNMe] ₃	1.848–1.856	122.5	117.5	B3LYP/pVDZ	present
	[MeGaNH] ₃	1.848–1.851	127.1	112.9	B3LYP/pVDZ	present

^a For the hexamer compounds, data in the first row refer to the six-membered ring, and data in the second row refer to the four-membered ring.

interactions in the crystal. Our predicted vibrational frequencies (Supporting Information) are close to experimental IR data obtained in an argon matrix.¹⁴

The computed enthalpies of the dimerization-trimerization reactions



are very low: –7, +2, and –4 kJ mol^{–1} for the (H,H), (H,Me), and (Me,H) orientation of substituents, respectively. Note that the formation of the trimer is favorable for [H₂GaNH₂]₂ and

[Me₂GaNH₂]₂, but unfavorable for [H₂GaNMe₂]₂, in excellent agreement with experimental observations: there are two stable trimers [H₂GaNH₂]₃³⁴ and [Me₂GaNH₂]₃¹⁴ and one stable dimer [H₂GaNMe₂]₂.³⁵ Since the energy of the reorganization processes is very small, additional interactions may play a dominant role in solution and thus a dimer–trimer equilibrium may exist in solutions for all (H,H), (H,Me) and (Me,H) dimer or trimer molecules.

C. Dimer Species [RGaNR']₂ (R, R' = H, CH₃) with Tricoordinated Ga and N Centers. Further RR' elimination from

TABLE 2: Selected Characteristics of Oligomer Compounds $[R_x\text{GaNR}'_x]_n$, Standard Enthalpies $\Delta H_{298}^{\text{ass}}$ and Entropies $\Delta S_{298}^{\text{ass}}$ for the Association Process $R_x\text{GaNR}'_x = 1/n[R_x\text{GaNR}'_x]_n$ and Standard Enthalpies $\Delta H_{298}^{\text{elim}}$ and Entropies $\Delta S_{298}^{\text{elim}}$ for the Process $R_3\text{Ga} + \text{NR}'_3 = 1/n[R_x\text{GaNR}'_x]_n + (3-x)\text{RR}'$: All Results Are from the B3LYP/pVDZ Level of Theory

n	x	R,R'	$S^0_{(298)}$, J mol ⁻¹ K ⁻¹	μ , D	$\Delta H_{298}^{\text{ass}}$, kJ mol ⁻¹	$\Delta S_{298}^{\text{ass}}$, J mol ⁻¹ K ⁻¹	$\Delta H_{298}^{\text{elim}}$, kJ mol ⁻¹	$\Delta S_{298}^{\text{elim}}$, J mol ⁻¹ K ⁻¹
2	2	H,H	341	0	-96.6	-92.8	-144.6	-109.8
		H,CH ₃	459.6	0	-76.1	-94.1	-188.2	-90.4
		CH ₃ ,H	517	0	-91.2	-105.4	-174.0	-144.0
	1	H,H	320.2	0	-206.8	-91.0	-17.5	10.5
		H,CH ₃	423.0	0	-196.4	-85.5	-157.2	77.7
		CH ₃ ,H	440.6	0	-200.6	-89.5	-94.2	4.1
3	2	H,H	448.2	1.38	-103.3	-113.9	-151.3	-130.9
		H,CH ₃	579.6	1.00	-73.7	-130.7	-185.8	-126.9
		CH ₃ ,H	717.8	0.94	-95.2	-124.6	-178.0	-163.2
	1	H,H	389.1	0	-265.3	-121.4	-76.0	-19.9
		H,CH ₃	533.6	0	-241.4	-119.1	-202.1	44.0
		CH ₃ ,H	574.4	0.05	-255.9	-118.3	-149.5	-24.7
4	1	H,H	397.9	0	-306.1	-151.6	-116.8	-50.2
		H,CH ₃	534.1	0	-286.3	-163.5	-247.1	-0.3
		CH ₃ ,H	580.2	0	-298.0	-164.7	-191.6	-71.2
		H,H	524.9	0	-326.4	-163.6	-137.1	-62.2
6	1	H,CH ₃	721.9	0	-299.4	-176.7	-260.2	-3.2
		CH ₃ ,H	807.3	0	-316.9	-175.2	-210.5	-81.7

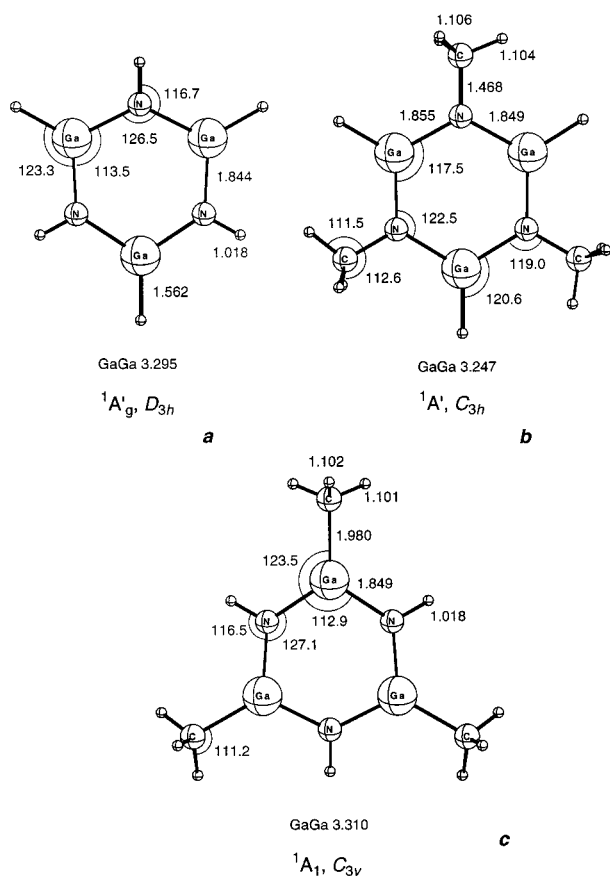


Figure 4. Geometries of trimer compounds: $[\text{HGaNH}]_3$ (a), $[\text{HGaN}(\text{CH}_3)]_3$ (b), and $[(\text{CH}_3)_3\text{GaNH}]_3$ (c) at the B3LYP/pVDZ level of theory. Bond lengths are in Å, bond angles in degrees.

distortion angle θ from planarity is 2.3°, 1.1°, and 2.4°, for (H, H), (H, Me), and (Me, H) species, respectively. The computed Ga–N bond lengths (2.001, 2.007, and 2.005 Å), and Ga···Ga distances (2.886, 2.865, and 2.892 Å) are in the range of those reported for the synthesized compounds, and the theoretical NGaN and GaNGa angles also fit well into the 85.9–89.2° and 90.6–94.0° experimental margins. The Ga–C bond length in $[\text{MeGaNH}]_4$ is computed to be 1.977 Å, which is longer than in $[\text{MeGaN}(\text{C}_6\text{F}_5)]_4$ (1.926–1.933 Å), and in $[\text{MeGaNSiMe}_3]_4$ (1.944–1.953 Å), but agree well with the Ga–C distance

TABLE 3: Standard Enthalpies ΔH_{298} (kJ mol⁻¹) for Oligomerization Reactions. B3LYP/pVDZ Level of Theory

reaction	(R,R')	n			
		2	3	4	6 ^a
$1/n-1[\text{R}_2\text{GaNR}'_2]_{n-1} =$	(H,H)	-97	-7		
$1/n[\text{R}_2\text{GaNR}'_2]_n$	(H,Me)	-76	+2		
	(Me,H)	-91	-4		
$1/n-1[\text{RGaNR}'_n]_{n-1} =$	(H,H)	-207	-59	-41	-20
$1/n[\text{RGaNR}'_n]$	(H,Me)	-196	-45	-45	-13
	(Me,H)	-201	-55	-42	-19

^a Values are given for the reaction $1/4[\text{RGaNR}'_4] = 1/6[\text{RGaNR}'_6]$.

reported for $[\text{MeGaNR}t\text{-Bu}]_4$ (1.965–1.996 Å). It should be noted that $[\text{MeGaNR}]_4$ cube compounds (R = *t*-Bu, *i*-Pr) have been produced by the following route:



At the last stage, thermolysis of dimeric $[\text{Me}_2\text{GaNHR}]_2$ amidogallanes was observed at 250–260 °C. Formation of such $[\text{MeGaNR}]_4$ species during the CVD process via a similar reaction scheme should be possible and will be discussed in section III.

F. Hexamer Species $[\text{RGaNR}'_6]$ (R, R' = H, CH₃) with Tetracoordinated Ga and N Centers. The first experimentally known hexamer iminogallane $[\text{MeGaN}(4\text{-C}_6\text{H}_4\text{F})]_6$ (**1**) has been structurally characterized in 1997 by Schnitter et al.^{57a} and the second hexamer imidogallane $[\text{MeGaNi-Bu}]_6$ (**2**) was reported in 1999 by Weidlein and co-workers.^{57b} Both compounds have S_6 point group symmetry in the solid state due to the bulkiness of the 4-fluorophenyl and *iso*-butyl substituents. Optimized structures of hexamer species are presented in Figure 6. Our theoretical data for $[\text{MeGaNH}]_6$ agrees well with experimental results: calculated Ga–N (six-membered ring), Ga–N (four-membered ring), and Ga–C distances are 1.970, 2.056, and 1.984 Å, respectively. The experimental lengths of these bonds are 1.969(5), 2.033(4) and 1.943(5) Å for (**1**) and 1.937–1.955(2), 2.067(3), and 1.956(3) Å for (**2**), respectively. Predicted Ga–N distances in the six-membered ring are 0.086 Å shorter than those compared to Ga–N distances in four-membered ring, in good agreement with the experimental differences of 0.066 Å (**1**) and 0.112–0.13 Å (**2**). In the mass spectrum of $[\text{MeGaN}(4\text{-C}_6\text{H}_4\text{F})]_6$ hexamer (28%), tetramer (10%), dimer (100%), and monomer (10%) units have been

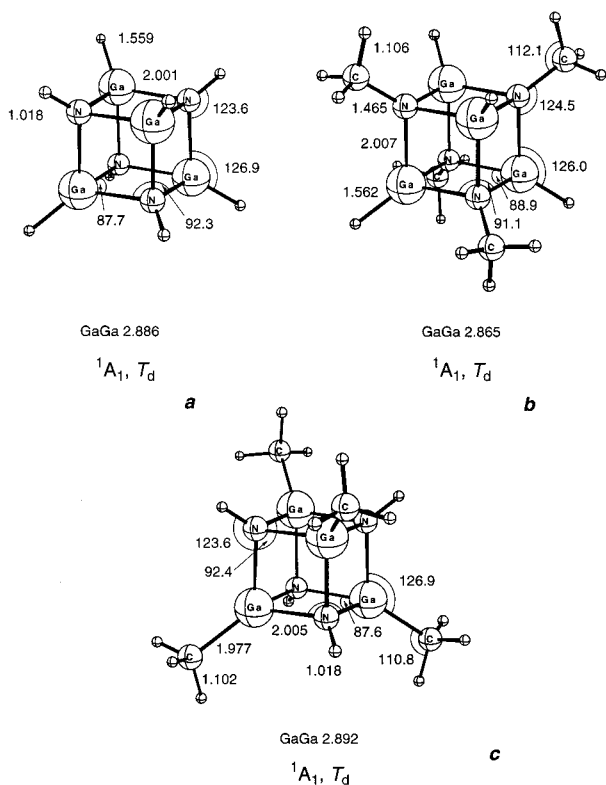


Figure 5. Geometries of tetramer compounds: $[\text{HGaNH}]_4$ (a), $[\text{HGaN}(\text{CH}_3)_4]$ (b), and $[(\text{CH}_3)_3\text{GaNH}]_4$ (c) at the B3LYP/pVDZ level of theory. Bond lengths are in Å, bond angles in degrees.

observed.^{57a} Note that no trimer units have been observed under mass spectroscopy (MS) conditions despite the longer Ga–N distances between six-membered rings. This may reflect the fact that six Ga–N bonds need to be broken to produce two trimers, while only four Ga–N bonds need to dissociate to yield the dimer, monomer or tetramer. The enthalpies of dissociation of the hexamers into two trimers $[\text{RGaN}R']_6 = 2 [\text{RGaN}R']_3$ [366, 349, and 336 kJ mol⁻¹ for the (H, H), (H, Me), and (Me, H), respectively] are by 46, 91, and 58 kJ mol⁻¹ higher than the enthalpies of dissociation into tetramer and dimer $[\text{RGaN}R']_6 = [\text{RGaN}R']_4 + [\text{RGaN}R']_2$. Thus, the dissociation products observed by mass spectroscopy are more stable thermodynamically. All hexamer compounds are predicted to be more stable than tetramers (Table 3). The similar stability of hexamer compounds in the Al–Al–N–H system was attributed to decreased Al–Al repulsion compared to tetramers.¹⁸

II. Vibrational Frequencies of Compounds. Unscaled vibrational frequencies and infrared intensities for all 18 distinct compounds are available as Supporting Information. The performance of the B3LYP/pVDZ method was tested on molecules for which experimental gas-phase or matrix isolation IR and Raman spectra are available: NH_3 ,⁵⁸ $\text{Ga}(\text{CH}_3)_3$,⁵⁹ $\text{H}_3\text{GaN}(\text{CH}_3)_3$,^{60,61} $(\text{CH}_3)_3\text{GaN}(\text{CH}_3)_3$,⁶² $[\text{H}_2\text{GaN}(\text{CH}_3)_2]_2$,³⁵ and $[(\text{CH}_3)_2\text{GaNH}_2]_3$ ¹⁴ (total of 70 experimental frequencies). There is a good correlation between observed and calculated vibrational frequencies:

$$\nu_{\text{obs}} = 0.9469 \omega_{\text{calc}} + 33.3 \text{ cm}^{-1},$$

correlation coefficient 0.9995.

We recommend the use of this correlation to scale predicted vibrational frequencies for the cluster compounds (Table 1s) in order to compare predicted values with experimental data.

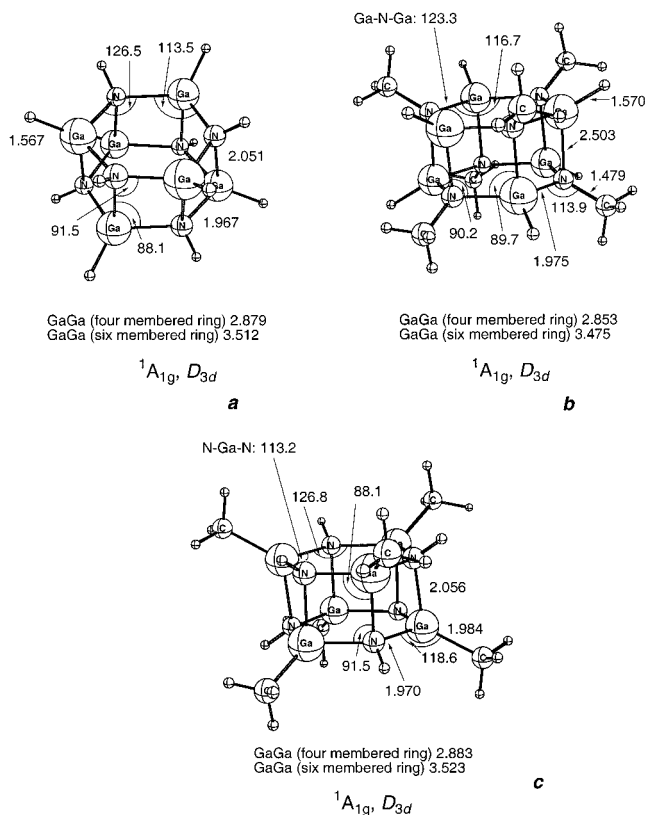
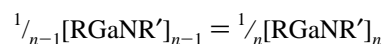


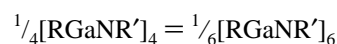
Figure 6. Geometries of hexamer compounds: $[\text{HGaNH}]_6$ (a), $[\text{HGaN}(\text{CH}_3)_6]$ (b), and $[(\text{CH}_3)_3\text{GaNH}]_6$ (c) at the B3LYP/pVDZ level of theory. Bond lengths are in Å, bond angles in degrees.

III. Discussion: Thermodynamics of CVD Processes. A general scheme of GaN CVD processes as obtained from our investigation of their thermodynamics is given in Figure 7. There are two major pathways for CVD of gallium nitride: the dissociation pathway (formation of GaR_x and NR'_x radicals and their reactions on the surface) and the association pathway (gas phase $[\text{R}_x\text{GaN}R'_x]_n$ cluster generation and their nucleation). The thermodynamic characteristics of dissociation and elimination processes of monomer compounds have been reported in the previous paper.⁶³

Enthalpies of the major association processes are given in Table 3. The oligomerization reactions of $\text{R}_x\text{GaN}R'_x$ monomer compounds are highly exothermic for all substituents R and R', with association enthalpies being larger for $x = 1$ than for $x = 2$. The dimer–trimer equilibrium reaction $\frac{1}{2}[\text{R}_x\text{GaN}R'_x]_2 = \frac{1}{3}[\text{R}_x\text{GaN}R'_x]_3$ is exothermic by 45–60 kJ mol⁻¹ in the case of $x = 1$, while the enthalpy of this reaction is close to zero for $x = 2$. Therefore, we can predict that further association of the saturated $[\text{R}_2\text{GaN}R'_2]_n$ species is hardly favored by enthalpy, but strongly disfavored by entropy. Thus, further association of amidogallanes is expected to be unfavorable. In contrast, for unsaturated $[\text{RGaN}R']_n$ oligomers, further association is strongly favorable energetically: the oligomerization reaction



is exothermic by –45 to –60 kJ mol⁻¹ for $n = 3$ and about –40 kJ mol⁻¹ for $n = 4$. Enthalpies of the cubic tetramer to hexamer conversion



are also exothermic by –13 to –20 kJ mol⁻¹. Thus, further

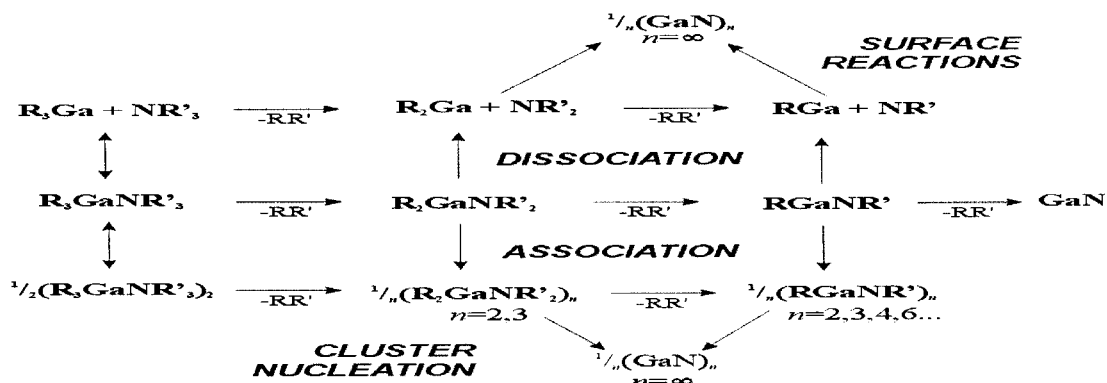


Figure 7. Schematic of the GaN CVD process.

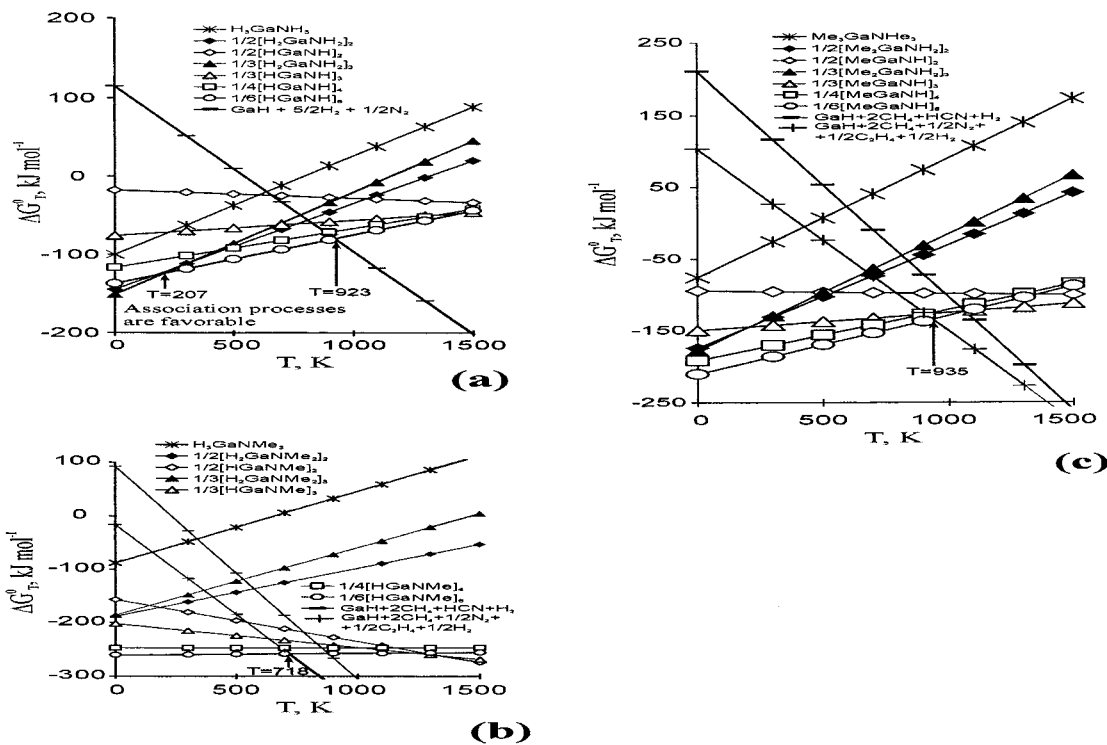


Figure 8. Gibbs energy–temperature dependence for the elimination reactions $R_3Ga + NR'_3 = 1/n[R_xGaNR'_x]_n + (3-x)RR'$ and dissociation reactions 1–5 as obtained at the B3LYP/pVDZ level of theory: $R=R'=H$ (a), $R=H$, $R'=Me$ (b), $R=Me$, $R'=H$ (c).

association of imidogallanes is expected to be favorable energetically.

For the thermodynamic analysis of possible gas-phase reactions, Gibbs energy–temperature diagrams are used. In Figure 8, Gibbs energies are presented for the gas-phase elimination reactions

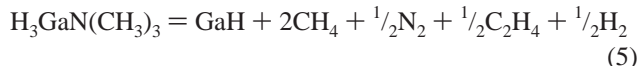
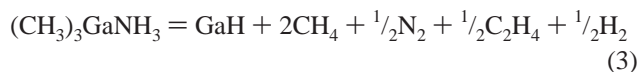
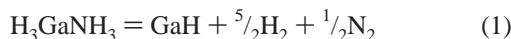


for the (H,H) (a), (H,Me) (b), and (Me,H) (c) species. In all cases the original stoichiometry of the adduct compounds is preserved by setting the R_3Ga/NR'_3 ratio to 1. The process with the lowest Gibbs energy leads to the thermodynamically most favorable products. From Figure 8a one can clearly see that for the (H,H) system the trimeric cyclotrigallazane $[R_2GaNR'_2]_3$ is predicted to be the most stable Ga–N–H containing species at low temperatures (up to 207 K), in agreement with the experimental observations of Gladfelter and co-workers.^{34,49} As the temperature increases above 207 K, the $[HGaNH]_6$ hexameric cluster becomes the thermodynamically most stable gas-

phase molecule. The analogous hexameric clusters $[RGaNR'_2]_6$ are also the most stable in (H, Me) and (Me, H) systems (Figure 8b,c). Other imidogallanes considered (tetramer, trimer, and dimer) are less stable but the difference in their stability decreases with increasing temperature since the entropy factor favors dissociation processes. The existence of the trimeric intermediate $[Me_2GaNH_2]_3$ was proposed based on an IR spectroscopy study,¹ but our thermodynamic analysis shows (Figure 8c) that this trimeric amidogallane is not the most stable gas-phase molecule. The intermediate trimer may form the most stable hexamer by dimerization of two trimeric rings, a process favorable by both enthalpy and entropy:



With further temperature increase, dissociation yielding small molecules like H_2 and $Ga-H$ start to compete with cluster formation. In the present study we considered only one dissociation reaction for (H, H) systems (eq 1) and two dissociation processes for (H, Me) and (Me, H) systems (eqs 2–5):



We expect simple species like GaH, HCN, N₂, H₂, CH₄, and C₂H₄ to be the most stable molecules in the gas phase at high temperatures. The thermodynamic characteristics of processes leading to their formation from the corresponding donor–acceptor complexes are presented in Table 4. All these processes are strongly endothermic, but the entropy will have an overwhelming effect on the Gibbs energy at increasing temperatures. The temperatures at which formation of small gas phase species becomes thermodynamically favorable over [RGaNR']₆ cluster formation are 923, 718, and 935 K, for the (H, H), (H, Me) and (Me, H) systems, respectively (Figure 8). These temperatures are the upper limit of the operation of association reactions with regard to the competitive dissociation processes. The much lower temperature for the (H, Me) system arises from the inherently lower stability of Ga–H and N–CH₃ orientation of substituents (vide supra). From our thermodynamic analysis we conclude that the association pathway (Figure 7) of the GaN CVD process from TMG and ammonia is predominant from normal conditions until temperatures up to 940 K (Figure 8c), which are working temperatures for commercial GaN production. Our prediction is in excellent agreement with very recent mass-spectrometric observations, carried out for masses up to 240 amu. It has been shown that dimeric forms [(CH₃)₄Ga₂(NH₂)₂], [(CH₃)₃Ga₂(NH₂)₂], and [(CH₃)₃Ga₂(NH₂)₂] are present in the gas phase in the 300–1000 K temperature range.²⁶ No dimeric species have been observed above 1000 K. The calculated temperatures at which dissociation of amidogallane dimer species into monomers becomes favorable are 1041, 808, and 865 K for [H₂GaNH₂]₂, [H₂GaNMe₂]₃, and [Me₂GaNH₂]₂, respectively. These temperatures set the limit of operation of association reactions with respect to formation of monomeric species with Ga–N bond. Although oligomerization of imidogallanes is thermodynamically favorable even at higher temperatures, dimeric amidogallanes are the least stable species in the oligomerization chain, and thus oligomers will be less important at higher temperatures. On the other hand, association products should dominate the gas phase at lower temperatures if thermodynamic equilibrium were achieved.

To avoid thermodynamically favorable association processes, higher temperatures and low reactor pressure conditions are needed. On the other hand, high thermodynamic stability of the gas-phase clusters makes them promising precursors for the stoichiometry-controlled CVD processes.

Conclusions

The results obtained in the present paper suggest that under the experimental conditions of the GaN deposition process small clusters of Ga–N compounds may play a key role as intermediates. Some of the species considered here, such as dimeric [H₂GaNMe₂]₂ and trimeric [Me₂GaNH₂]₃ are known to exist in the gas phase and even have previously been isolated and structurally characterized in the solid state and in nonaqueous

TABLE 4: Standard Enthalpies (kJ mol⁻¹) and Entropies (J mol⁻¹ K⁻¹) for Dissociation Reactions (1) to (5) (See Left): B3LYP/PVDZ Level of Theory

process	ΔH_{298}^0	ΔS_{298}^0
(1)	215.3	337.1
(4)	179.8	531.5
(5)	71.8	470.2
(2)	287.0	481.4
(3)	179.0	420.1

solutions. However, until recently their presence in the GaN MOCVD process was speculative, as a direct observation has not been made. Despite the suggestions of Thon and Kuech¹ in 1996 that such compounds, particularly the trimer [Me₂GaNH₂]₃, may play some role in MOCVD of GaN, their experimental setup “would not be capable to determine these high molecular weight species.” Our thermochemical analyses suggest that the whole series of [R_xGaNR'_x]_n compounds may exist in the gas phase. In their recent paper on the laser-assisted MOCVD of GaN under high pressure-low temperature (well below room temperature) conditions, Koplitz and co-workers²³ identified the formation of a new TMG–NH₂ adduct, “and diverse, higher mass GaN-containing species”. According to our calculations, hexameric [MeGaNH]₆ cluster is the most stable gas-phase molecule in the GaMe₃–NH₃ system below 935 K. Very recently dimeric clusters have also been observed in the gas phase in the temperature interval 300–1000K.²⁶ However, experimental setup was able to detect masses up to 240²⁶ or 300²³ amu, and from the obtained data it is not clear if the largest mass observed at 217 amu [ascribed to Me₃HGa₂(NH₂)NH⁺]²³ and 234 amu [ascribed to Me₄Ga₂(NH₂)₂⁺]²⁶ result from the dimer or from some heavier GaN containing species. It is very important to note that the relative MS intensity of the cluster compounds in the gas phase is increasing under laser irradiation (the main objective of which is to assist N–H bond breaking).²³ This is at variance with expectations for a radical reaction mechanism, but confirms the validity of our thermodynamic analysis. Clearly, our investigation suggests that additional mass spectroscopy experiments should be performed for the TMG–NH₃ system, especially in higher molecular weight regions. Further experimental investigation in this direction is very desirable and is expected to be promising.

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Supporting Information Available: Harmonic vibrational frequencies and IR intensities for investigated compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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